12-06-02

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OM& 0551-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control aumber.

50623.169

TRANSMITTAL	Application Number	09/822,952	至
	Filing Date	March 30, 2001	<u> </u>
FORM	First Named Inventor	Charles David Claude	A A
used for all correspondence after initial filing)	Group Art Unit	1616	
	Examiner Name	R. DeWitty	90,

Attorney Docket Number

ENCLOSURES (check all that apply)				
Deposit Account (Authorization	07-1850	Assignment Papers (for an Application)	After Allowance Communication to Group	
Express Mail Labe EV 177 490 728 U		Drawing(s) In/Formal Sheets with Submission of Drawings Transmittal	Appeal Communication to Board of Appeals and Interferences	
Response to Office	e Action	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
Amendment Trans	smittal Letter (in	Petition for Extension of Time	Proprietary Information	
Affidavits/dec	laration(s)	Petition to Convert to a Provisional Application	Status Letter	
Information Disclor Statement/USPT0 6 enclosures and Search Report	D Form 1449, with	Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):	
Postage Paid Return Postcard		☐ Terminal Disclaimer		
		Request for Refund		
Express Abandonment Request		CD, Number of CD(s)		
Certified Copy of Priority Document(s)		Remarks	·	
Response to Missing Parts/ Incomplete Application				
Response to Missing Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm <i>or</i> Individual name	Squire, Sanders & Dempsey L.L.P. Paul J. Meyer, Jr., Reg. No. 47,791			
Signature	16			
Date	December 4, 2002			
CERTIFICATE OF MAILING				

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: December 4, 2002

Date

December 4, 2002

Judi Stillwell Typed or printed name Signature

type a plus sign (+) inside this box \longrightarrow $\boxed{+}$

4

Total Number of Pages in This Submission (excluding references)

(to be

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No. 50623.169

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e Application of:

Examiner:

R. DeWitty

Charles David Claude

Serial No.

09/822,952

Art Unit:

1616

Filed:

March 30, 2001

Title:

Controlled Morphologies In Polymer Drug For Release Of Drugs Fro

Polymer Films

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

Dear Examiner DeWitty:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith. In addition, enclosed is a copy of the International Search Report that was cited in a related PCT application. The Examiner is requested to make this information of official record in the application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

1

12/09/2002 WABDELR1 00000128 071850 풄 180.00

#85628

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being submitted after receiving an Office Action and after three months of the filing date of the above-identified application. Therefore, the fee of \$180.00 as specified in 37 C.F.R. §1.97(c) and 37 C.F.R. §1.17(p) is authorized to be charged to Deposit Account No. 07-1850.

Date: December 4, 2002

Squire, Sanders & Dempsey L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887 Respectfully-submitted,

Paul J. Meyer, Jr. Attorney for Applicant Reg. No. 47,791

#85628 2